



# SPOUSE BENEFICIARY IRA INHERITANCE REQUEST FORM

AMG Funds  
P.O. Box 9769  
Providence, RI 02940-9769  
800.548.4539

### ORIGINAL IRA OWNER'S INFORMATION

The following IRA owner has passed and I am requesting that you transfer ownership of the inherited proceeds to me as the surviving spouse.

Roth IRA      \*Traditional / SEP / SIMPLE

▲ Original IRA Owner's Account Number

▲ Original IRA Owner's Full Name

▲ Birth Date

▲ Death Date

#### Check all that apply:

- Death Certificate is attached
- Death Certificate was provided under separate cover
- Notarized Affidavit of Domicile ("AOD") is attached
- Notarized AOD was provided under separate cover
- If applicable, an Inheritance Tax Waiver is attached

▲ Surviving Spouse, if identified on the Death Certificate

\*For Traditional, SEP and SIMPLE IRAs - If the IRA owner was subject to required minimum distributions (RMD) payout [age 70 ½ or older], we will distribute any remaining RMD amounts due to the owner for the year of death as a single distribution payable to the beneficiary(ies) under their Social Security Number (or Tax ID) as required by the Internal Revenue Service (IRS).

### SPOUSE/BENEFICIARY INFORMATION

As the designated spouse beneficiary (or, under the terms of the beneficiary default provisions), I am requesting that the proceeds of my spouse's IRA be transferred to me. (please print)

▲ First Name

▲ Middle Initial

▲ Last Name

▲ Social Security Number

#### INHERITANCE ELECTION – Please read all options carefully before you make a selection. (Select either A, B or C)

A I CHOOSE TO TREAT THE IRA AS MY OWN (please mark your election below)  
I understand that if I choose to redeem monies from my personal IRA at any time that I must complete an IRA Distribution Form. You can submit a letter of instruction providing your federal tax withholding election for normal, qualified or premature distributions only (reported on IRS Form 1099-R, based on your age). All other distribution requests require certain certifications as found on the IRA Distribution Form.

#### Choose either option 1 or 2:

1. Establish an IRA in my name with the attached AMG Funds COMBINED IRA ACCOUNT APPLICATION AND ADOPTION AGREEMENT transferring the inherited assets into the same investment fund(s). (Exchange privileges are available once the transfer is complete.)
2. Transfer the inherited proceeds into my existing AMG Funds IRA into the same investment fund(s). (Exchange privileges are available once the transfer is complete.)

Account Number \_\_\_\_\_ Traditional IRA      Roth IRA

B ESTABLISH AN INHERITED IRA ACCOUNT - for the purpose of maintaining the inherited proceeds for life expectancy, systematic, partial or future year inheritance distributions. I understand that all distributions from the inherited IRA will be reported on IRS Form 1099-R as a death distributions (Code 4), under my name and Social Security Number.

Please attach the AMG Funds INHERITED IRA FOR NON-SPOUSE, TRUST, ESTATE OR ENTITY APPLICATION AND ADOPTION AGREEMENT completing the Inherited IRA for Non-Spouse section. Your inherited proceeds will be transferred into the same investment fund(s). (Exchange privileges are available once the transfer is complete.) **Note:** To establish required minimum life expectancy distributions, also complete the AMG Funds INHERITED IRA DISTRIBUTION REQUEST FORM.

C LIQUIDATE IN FULL as a reportable distribution. I understand that the distribution will be reported on IRS Form 1099-R as a death distribution (Code 4), under my name and Social Security Number.

# SPOUSE BENEFICIARY IRA INHERITANCE REQUEST FORM

**TAX WITHHOLDING ELECTION**

**Federal Tax Withholding Election**

Generally, IRA distributions are subject to 10% withholding unless you elect to have an additional amount withheld or elect to have no withholding. You may make a withholding election by selecting one of the options below.

Please select one of the following:

- Do not withhold Federal Income Tax
- Withhold 10% Federal Income Tax
- Withhold \_\_\_\_\_% Federal Income Tax (must be greater than 10%)

Caution: Even if you elect not to have Federal Income Tax withheld, you are liable for payment of Federal Income Tax on the taxable portion of your distribution. You also may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

**State Withholding**

Your state of residence will determine your state income tax withholding requirements, if any. Those states with mandatory withholding will require state income tax to be withheld from payments if federal taxes are withheld or may mandate a fixed amount regardless of your federal tax election. Voluntary states let individuals determine whether they want state taxes withheld. Some states have no income tax on retirement payments. You may wish to consult with a tax advisor or your state's tax authority for additional information on your state requirements.

- I elect TO NOT have state income tax withheld from my retirement account distributions (only for residents of states that do not require mandatory state tax withholding).
- I elect TO have the following dollar amount or percentage from my retirement account distribution withheld for state income taxes (for residents of states that allow voluntary state tax withholding). \$ \_\_\_\_\_ or \_\_\_\_\_%

As required by federal law, I certify that: (1) The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to back-up withholding, and (3) I am a U.S. person (including a U.S. resident alien). You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. (4) The FACTA code(s) entered on this form (if any) indicating that I am exempt from FACTA reporting is correct. Exemption from FACTA reporting code (if any) (Fillable box here): \_\_\_\_\_

"The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding."

**SIGNATURE (Required)**

▲ Signature (s) Beneficiary, Executor, Responsible Individual, Trustee(s) or Authorized Representative ▲ Date

**\*MEDALLION STAMP IS REQUIRED TO TRANSFER OWNERSHIP**

**\*Medallion Guarantee**  
 Beneficiary capacity is maintained by the custodian as part of the original IRA owner's account records and the guarantor is not certifying the beneficiary status.  
**Medallion Signature Guarantee Stamp and Signature** (If required by your current custodian or transfer agent): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.